

# NGAMO-SIKUMI REDD+ PILOT PROJECT BIOMASS ASSESSMENT REPORT

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**ABBREVIATIONS**

DBH	Diameter at breast height
FRA	Forest Resources Assessment
FREL	Forest Reference Emission Level
GIS	Geographical Information System
GPS	Global Positioning System
Ha	Hectare
HSBCP	Hwange Sanyati Biological Corridor Project
KS	Kalahari Sands
MODIS	Moderate Resolution Imaging Spectroradiometer
REDD+	Reducing Emissions from Deforestation and Forest Degradation
UN	United Nations
UTM	Universal Transverse Mercator

### ABSTRACT

A biomass assessment survey was carried out to quantify the carbon stock baseline for Ngamo and Sikumi Forest Reserves. The two gazetted forests are earmarked for the REDD+ carbon trading process under the Hwange Sanyati Biological Corridor Project (HSBCP). Using both remotely sensed data and in-situ field based measurements two carbon pools were measured *i.e* the above ground woody biomass carbon pool and the deadwood carbon pool. A total of 228 plots were established across all vegetation cover classes in both forests. The following parameters were then recorded per plot: tree height, dbh and tree health status. 52 different tree species were recorded in Ngamo whilst 62 tree species were recorded in Sikumi with *Baikiaea plurijuga* being dominant in both forests. Sikumi had an average above ground carbon stock of 19.4 tonnes per ha whilst Ngamo had 18.2 tonnes per ha. However Ngamo had the highest total carbon stock value of 1.68 million tonnes due to its vast nature whilst Sikumi had a total carbon stock value of 867 000 tonnes. Combined the two forests have a total above ground carbon pool of 2.6 million tonnes.

Using field based measurements for model calibration a linear regression model was also developed to predict the spatial distribution of above ground carbon in the two forests. From a host of satellite derived vegetation indices NDVI showed the strongest relationship with field based carbon measurements thus it was used as a proxy for mapping the spatial distribution of above ground carbon in the two forests. It is highly recommended that forest management activities be enhanced in the two forests to ensure reducing emissions from forest degradation and deforestation. Of major concern are the frequent fires that occur every year posing a major threat to achieving the goal of contributing to climate change mitigation.

## **ACKNOWLEDGEMENTS**

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## **1.0 BACKGROUND**

Ngamo and Sikumi Forest Reserves have been earmarked for the carbon trading process under the Hwange Sanyati Biological Corridor Project (HSBCP). One of the requirements to enter the carbon market is being able to establish the Forest Reference Emission Level (FREL) by quantify the existing carbon stocks. With this in mind a biomass assessment exercise was carried out to quantify the carbon stock thus establishing the Forest Reference Emission Level of the two forest areas. The FREL will serve as the baseline for measuring emissions reduction from the implementation of activities targeted at reducing emissions from deforestation and forest degradation.

Currently the management activities within the two gazetted forests include protection from fire, research on various aspects of the forests, wildlife management, control of forest occupants and anti-poaching activities. In spite of these management activities; the two forests share common problems *i.e* wildfires and timber and wildlife poaching; additionally Ngamo forest has witnessed encroachment by settlers over the years. These problems pose a threat to future survival, function and development of these forests. The aforementioned threats are the most probable drivers of deforestation and degradation thus implementation of the REDD+ initiative within the study area would ensure enhancement of management activities so as to achieve the main goal of climate change mitigation by reducing emissions resulting from forest degradation and deforestation.

Using both remotely sensed data and in-situ field based measurements two carbon pools were measured *i.e* the above ground woody biomass carbon pool and the deadwood carbon pool. A forest inventory manual was developed and field officers were trained prior to the biomass assessment field work.

### **1.1 Objectives**

- i) To quantify the above ground carbon pool of Ngamo and Sikumi forest reserves
- ii) To show the spatial distribution of carbon within the two study sites.



## 2.0 STUDY AREA

### 2.1 Location, Climate, Soils and Vegetation

#### 2.1.1 Location

Ngamo and Sikumi forest reserves cover approximately 157 300 hectares (1 573km<sup>2</sup>) within the Hwange Sanyati Biological Corridor (HSBC) which forms part of the Kavango-Zambezi Trans-frontier Conservation Area (KAZA TFCA) on the Zimbabwean side. The forests are located in Matabeleland North Province between latitude 18° 45'S and 18° 58'S and longitude 27° 07'E and 27° 31'E. The two forests share a common boundary and they straddle two districts, i.e. Hwange and Lupane districts (Fig 1).

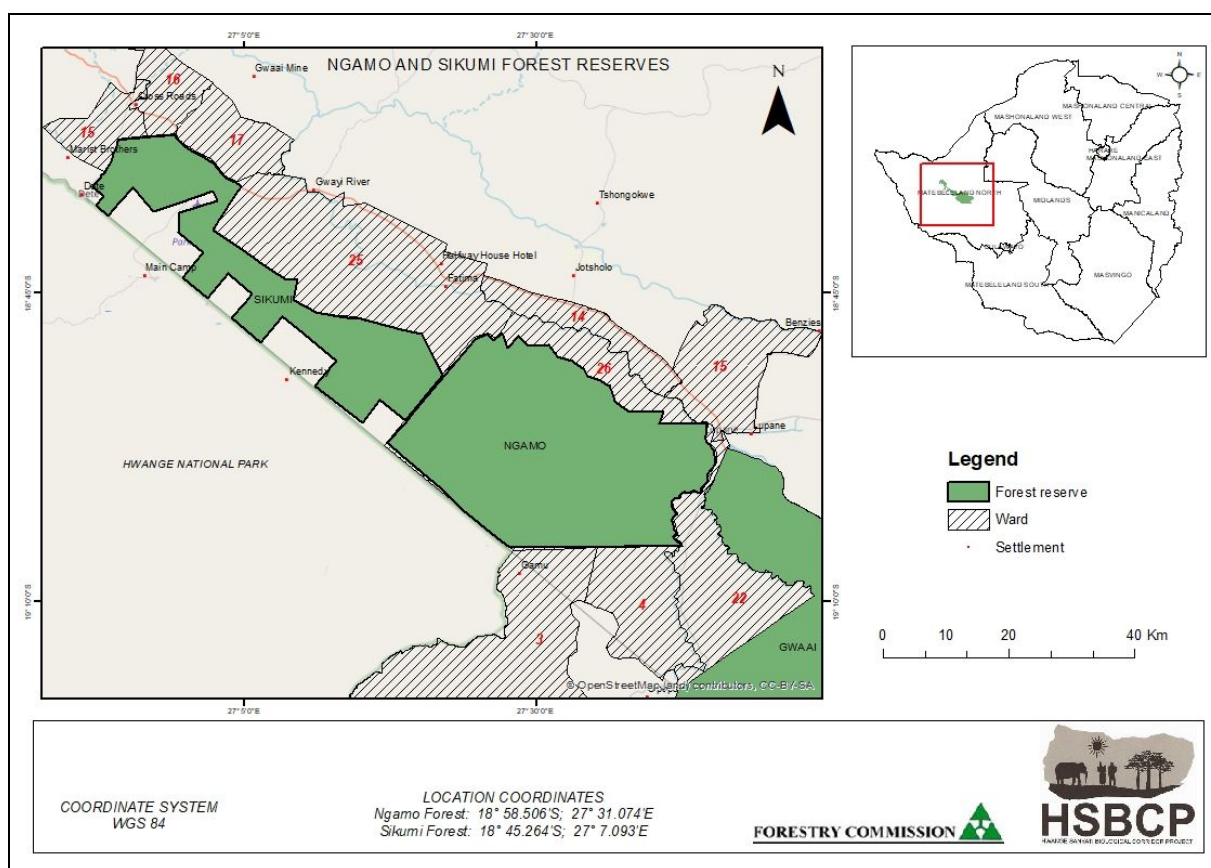


Figure 1: Location of Ngamo and Sikumi Forests, Matabeleland North, Zimbabwe

Table 1: Gazetted Forest area

Forest	Area (ha)
Ngamo	102 900
Sikumi	54 400
<b>TOTAL</b>	<b>157 300</b>



### 2.1.2 Climate

The two forests are typical Kalahari Sands teak forests thus climatic conditions are mainly characterized by a short and erratic rainfall season from mid-November to mid-March. The dry season ranges from April/May to October/November. Average annual rainfall is between 450-600 mm and the mean monthly temperatures in the hot and cold months are about 30 C and 17 0 C, respectively. In terms of agro-climatic classification the forests generally fall within Natural Region IV.

*Table 2: Climatic Conditions*

	Jan	Feb	Mar	April	May	Jun	July	Aug	Sept	Oct	Nov	Dec
<b>Avg. Temperature (°C)</b>	25.7	25.5	25.4	24.3	21.2	18.2	18.4	21	25.4	28.7	27.8	26.2
<b>Min. Temperature (°C)</b>	20	19.9	19.2	16.9	12.5	9.6	9.5	12.1	16.9	21.1	20.9	20.2
<b>Max. Temperature (°C)</b>	31.5	31.2	31.6	31.8	30	26.9	27.3	29.9	33.9	36.4	34.8	32.3
<b>Avg. Temperature (°F)</b>	78.3	77.9	77.7	75.7	70.2	64.8	65.1	69.8	77.7	83.7	82.0	79.2
<b>Min. Temperature (°F)</b>	68.0	67.8	66.6	62.4	54.5	49.3	49.1	53.8	62.4	70.0	69.6	68.4
<b>Max. Temperature (°F)</b>	88.7	88.2	88.9	89.2	86.0	80.4	81.1	85.8	93.0	97.5	94.6	90.1
<b>Precipitation / Rainfall (mm)</b>	154	132	64	17	3	0	0	0	1	16	58	131

### 2.1.3 Geology and soils

Kalahari sands cover the greater part of the forests. The Kalahari sands comprise of deep, unconsolidated and well-drained tertiary sands of Aeolian origin. The underlying geology is of sedimentary rocks overlying Karoo basalt and sedimentary deposits. These underlying formations are only exposed along Gwaai River (Ngamo forest eastern boundary) where the sands have been eroded. The dominant KS soils are uniform physically and chemically (Anderson et al., 1993; Nyamapfene, 1991). The extremely low occurrence of silt and clay particles (< 10percent) is due to the absence of any weather resistant minerals (Lockett, 1979). The soils are also highly infertile. Permeability is rapid and there is very little runoff.

### 2.1.4 Vegetation

Prior to carrying out the carbon quantification exercise a thorough vegetation assessment of the study area was carried out. The identified vegetation types are summarized below:

*Table 3: Vegetation cover types in the study area*

Vegetation cover type	Species composition
Baikiaea woodland	Covering almost 70% of study area <i>Baikiaea plurijuga</i> is the principal upper storey species for this woodland type. Other species include <i>Pterocarpus angolensis</i> , <i>Guibourtia coleosperma</i> , <i>Azelia quanzensis</i> , and <i>Erythrophleum africanum</i> . The understorey species are dominated by <i>Bauhinia petersiana</i> , <i>Baphia massaiensis</i> , <i>Grewia flavescens</i> , <i>Grewia monticola</i> , <i>Dichrostachys cinerea</i> , <i>Ochna pulchra</i> and <i>Commiphora</i> species.
Miombo woodland	Mainly found in Sikumi's block I and Ngamo's block B this woodland type is dominated by <i>Brachystegia spiciformis</i> . Species composition in the upperstorey comprises <i>Brachystegia spiciformis</i> , <i>Julbernardia globiflora</i> and <i>Brachystegia boehmii</i> .

Terminalia-Combretum woodland (Combretaceae)	Terminalia-Combretum woodlands are characterized by <i>Terminalia sericea</i> and <i>Peltophorum africanum</i> species. Other species include <i>Combretum</i> spp, <i>Lannea discolor</i> , <i>Sclerocarya birrea</i> and <i>Kirkia acuminata</i> . In Ngamo forest <i>Terminalia sericea</i> mainly occurs in association with <i>Burkea africana</i> , with <i>Burkea africana</i> forming the upperstorey. Terminalia species are prone to elephant damage and this is quite prominent in Sikumi forest.
Acacia woodland	The dominant Acacia species in the study area is <i>Acacia erioloba</i> .
Mopane woodland	Mopane woodland niche is mainly found in Ngamo forest with <i>Colophospermum mopane</i> being the principal upper canopy species on black clayey soils and abandoned fields closer to Masungamhala area.
Burkea africana woodland	<i>Burkea africana</i> is the principal upper storey species for this woodland type and in Ngamo it occurs in association with <i>Terminalia sericea</i> especially in areas where fire occurrence is prominent.
Grasslands	The most common grasslands within the study area are the ones associated with the vlei depression system. The common grassland areas are found along the Dete vlei in Sikumi and Masungamhala vlei in Ngamo.

### 3.0 FOREST INVENTORY DESIGN

#### 3.1 Sampling design

A stratified random sampling approach was adopted. Vegetation cover was used as the basis for stratification since the study area is made up different vegetation cover types. The assumption is different woody vegetation cover types *i.e* Miombo, Baikiaea, Terminalia, Mopane *etc* have different carbon sequestration and storage potential for instance Terminalia and Mopane *spp* are prone to elephant damage thus their carbon storage capabilities are compromised. In light of that stratifying the area into different vegetation strata was vital so as to capture all vegetation cover types.

##### 3.1.1 Vegetation cover stratification and mapping

Using a handheld GPS, a total of 75 test sites were collected within the study area and these were used as training sites for the vegetation cover mapping. The test sites were overlaid on Sentinel Satellite imagery of 2018 (Fig 2). Using GIS software (TNT Mips) the different vegetation cover types were manually traced /digitized. The manual classification method was selected over the automatic pixel based classification method to avoid misclassification between vegetation types with similar tints/reflectance as is often the case with Miombo species and *Baikiea plurijuga*. Sentinel was chosen over other free satellites because it provided better spatial resolution (10m resolution) compared to other open source satellite images *i.e* MODIS (1km resolution) and Landsat (30m resolution). Table 4 below highlights the Sentinel scenes that were utilized for the vegetation cover mapping exercise.

**Table 4: Sentinel Satellite scenes used for vegetation cover stratification and mapping**

Sentinel Sensor	Sentinel Scene	Spatial resolution	Spectral Bands	Sensing/Acquisition date
2A	35 KMV	10m	8;4;3	12/06/2018
2B	35 KNU	10m	8;4;3	24/06/2018
2A	35 KNV	10m	8;4;3	29/06/2018

Sentinel satellite data downloaded from <https://scihub.copernicus.eu>

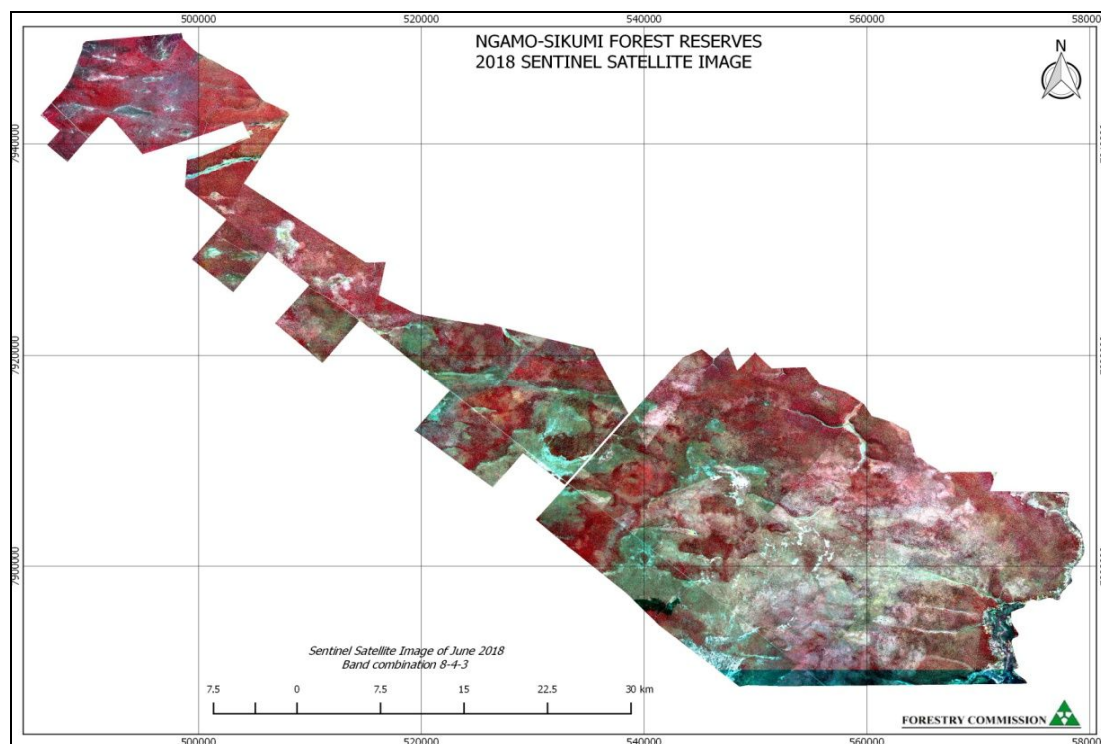


Figure 2: Sentinel satellite image of the study area (Image of June 2018 -Band combination 8-4-3)

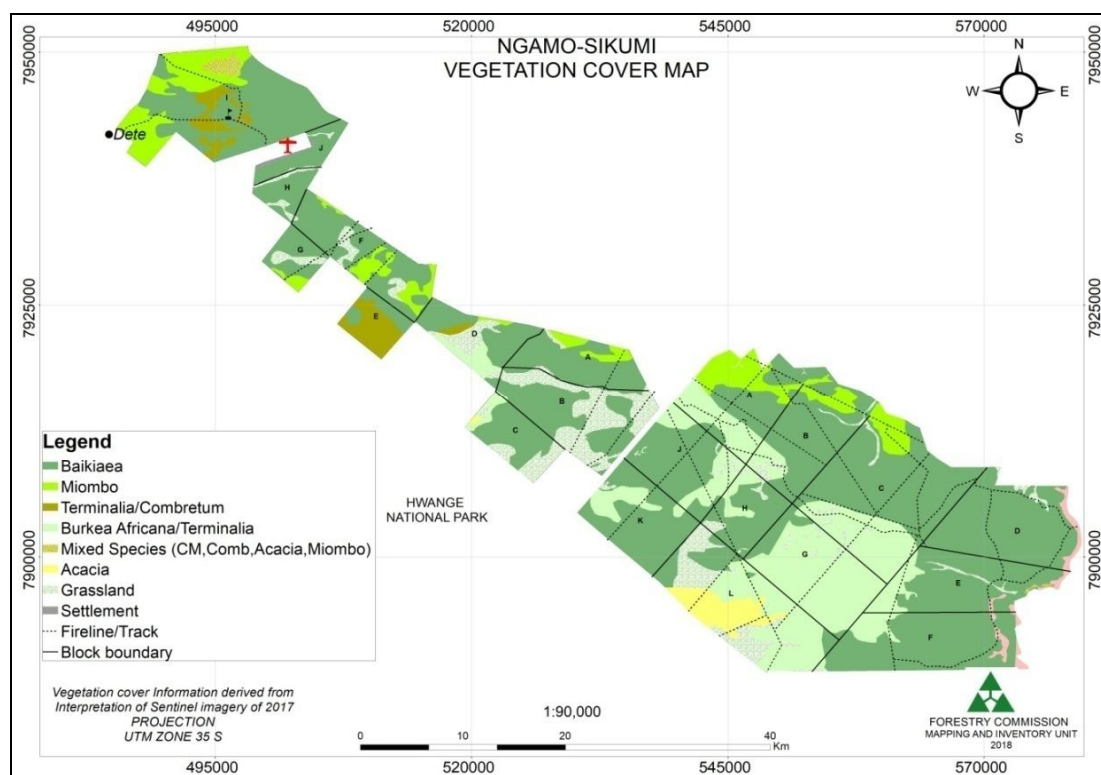


Figure 3: Vegetation cover of the study area

Table 5: Woody vegetation cover statistics

Vegetation cover type	Ngamo (ha)	Sikumi (ha)
Baikiaea	60 539.3	33 468.8
Miombo	4 497.9	6 793.7
<i>Burkea africana</i>	29 124.4	1 278.1
Terminalia/Combretacea	<i>Occurs in association with Burkea africana</i>	3 550.6
Acacia	2 593.3	60.1
Mopane	61.3	-
Mixed Species (Acacia, Mopane, Miombo, Terminalia etc)	-	506.5
<i>*Grassland</i>	4 880.5	7 856.3
<i>*Cultivation</i>	1 203.3	-
<i>*Settlement</i>	-	183.29

*NB: \* Classes in italics are non-woody classes thus they were deemed non productive in above ground woody carbon sequestration.*

The number of plots per vegetation strata was then derived using the formula below:

$$n = \frac{(\sum_{h=1}^L N_h \times s_h)^2}{\frac{N^2 \times E^2}{t^2} + (\sum_{h=1}^L N_h \times s_h^2)}$$

Where:

E = allowable error or the desired half-width of the confidence interval.

Calculated by multiplying the mean carbon stock by the desired precision (that is, mean carbon stock x 0.1, for 10% precision, or 0.2 for 20% precision),

t = the sample statistic from the t-distribution for the 95% confidence level. t is usually set at 2 as sample size is unknown at this stage,

N<sub>h</sub> = number of sampling units for stratum h (= area of stratum in hectares or area of the plot in hectares),

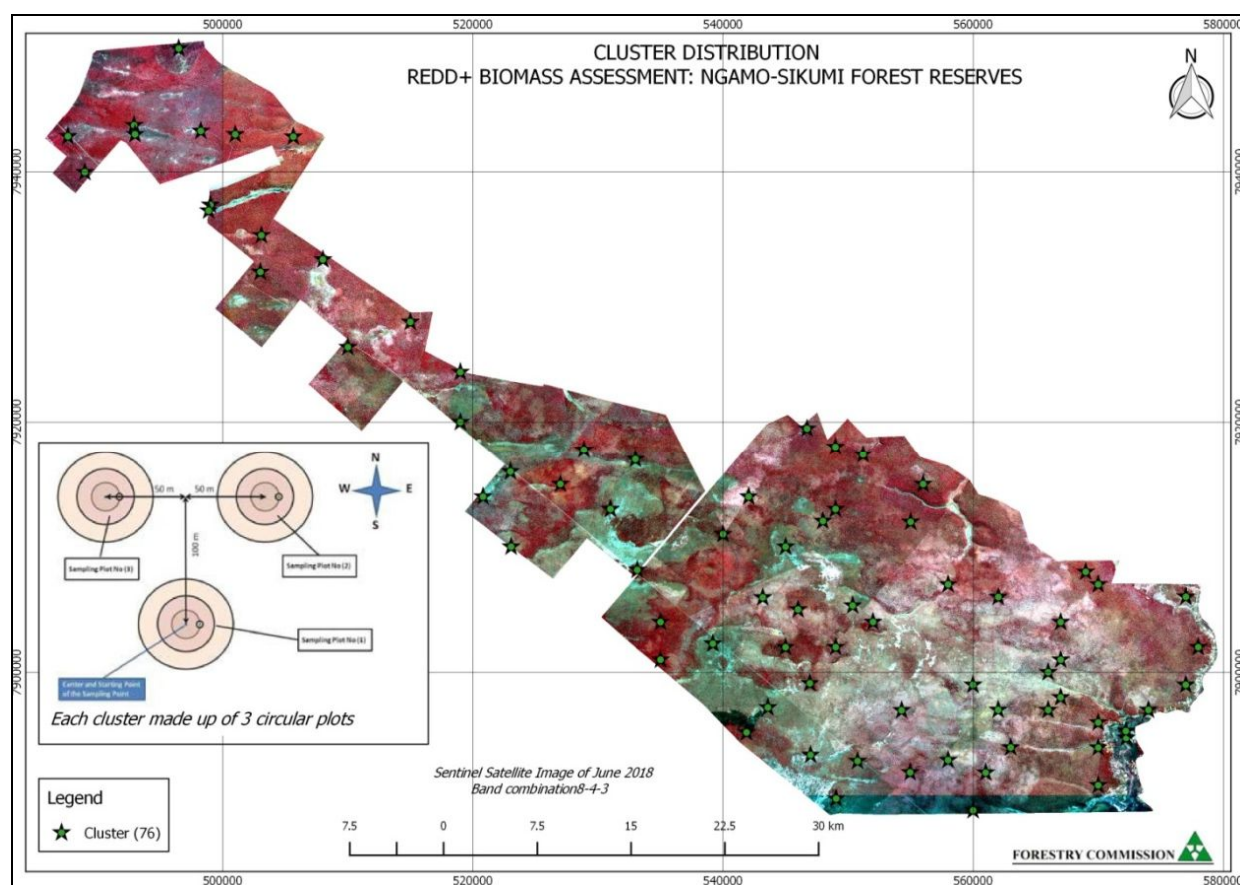
n = number of sampling units in the population

s<sub>h</sub> = standard deviation of stratum h.

A total of 228 plots were then established in the two forests with Ngamo having 153 plots whilst Sikumi had 75 (Table 6). The distribution of plots, in form of clusters was done randomly per woody vegetation strata. Each cluster was made up 3 plots thus a total of 76 clusters were established. Clusters that fell on roads, forest boundaries or on the wrong vegetation strata were manually shifted.

**Table 6: Cluster (plots) allocation per vegetation strata**

Woody vegetation strata	Ngamo	Sikumi
Baikiaea	28 (84)	11 (33)
Miombo	5 (15)	5 (15)
Burkea africana-Terminalia mixture	14 (42)	-
Terminalia/Combretacea	-	3 (9)
Acacia	2 (6)	1 (3)
Mopane	2 (6)	-
Mixed Species	-	1 (3)
Burkea africana	-	4 (12)

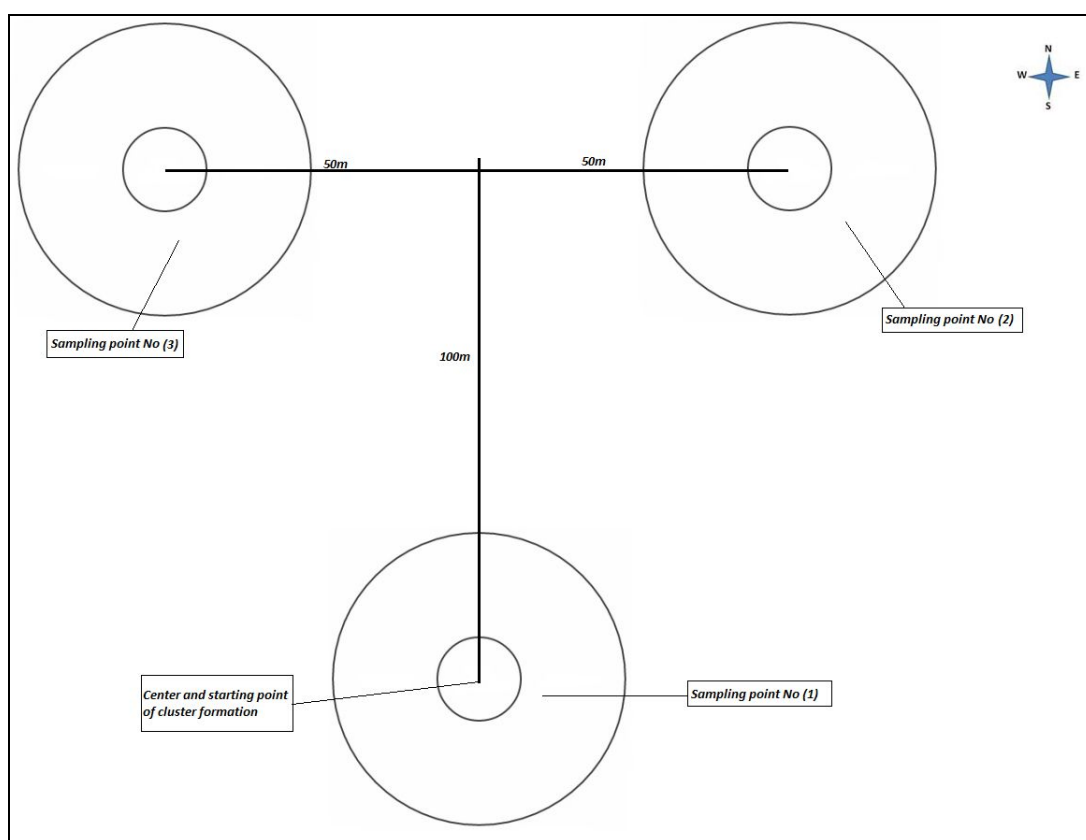


**Figure 4: Distribution of sampling units-Clusters (Sentinel image of June 2018- Band combination 8-4-3)**



### 3.2 Locating sampling units in the field

The location of the sampling units *i.e.* clusters was determined by UTM coordinates, which were entered on a handheld GPS instrument. The sampling unit was a cluster made up of 3 sampling plots (nested concentric circles) (Fig 5), where the centre of the first point (southern most point) was the determination point for the location of the cluster. This point was located using coordinates allocated to the sampling unit on the map.



**Figure 5: Sampling Cluster Design**

The GPS instrument was used in navigation mode to guide the team to the sampling unit centre. In order to get to the exact sampling point the last 10 meters were accessed with a handheld compass and distance tape.

### 3.2.1 Demarcation of sampling units

Once at the sampling point, plots were marked at the centre with a metal peg. Coordinates and pictures of 2 trees next to the centre of the point were also recorded as references. Placement of the metal peg was meant to make it easier to locate the plot centre during quality control.



*Figure 6: Metal peg placed at the centre of each plot*

### 3.2.2 Data recording on sampling unit

The following information was recorded per plot prior to tree measurements:

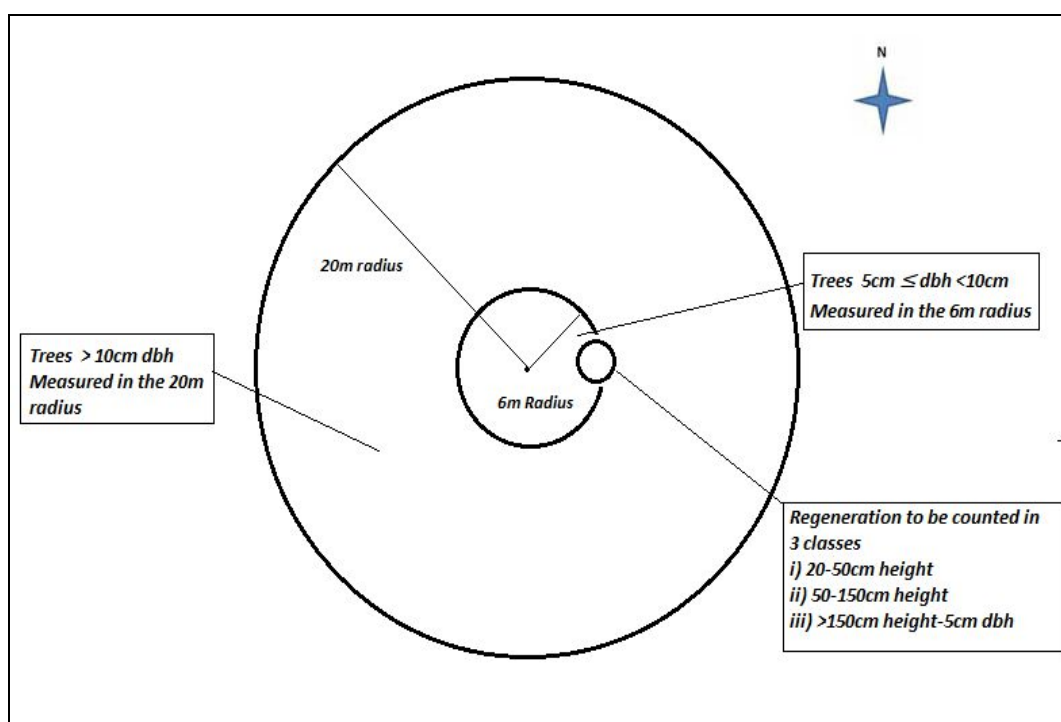
- i) Cluster and plot number: For identification the cluster number was filled on all pages of the sampling point data recording sheets. The plot number, which could only be 1 to 3, was also recorded.
- ii) Date and Team: For identification purposes and in case of any questions regarding the sampling point- the date of assessment, the team members and the team leader name was noted.
- iii) GPS Records: To make relocation and quality reconfirmation possible the GPS coordinates of each sampling point were recorded. The actual reading of the GPS at the centre of each plot was recorded.

### 3.3 Tree related measurements

Nested Circular plots with a radius of 20m (Fig 7) were used in tree assessment. The assessment of trees including regeneration was carried out in a full count. Trees of different sizes were assessed in different concentric circles/plot radius. Trees with dbh between 5 cm to 9.9 cm (small trees) were measured in the 6m radius whilst trees with dbh  $\geq 10$  cm (big trees) were measured in the 20m radius (Table 7).

*Table 7: Sampling Plot Sizes for Different Tree Sizes*

DBH and size	Plot Radius
height 20 cm – 50 cm (regeneration)	2m
height 50 cm – 129 cm (regeneration)	2m
height 130 cm – DBH 4,9 cm (regeneration)	2m
DBH 5 cm – 9.9cm (small trees)	6m
DBH $\geq 10$ cm 20 m (big trees)	20m



*Figure 7: Sampling Plot Design – Nested Circular Plots*

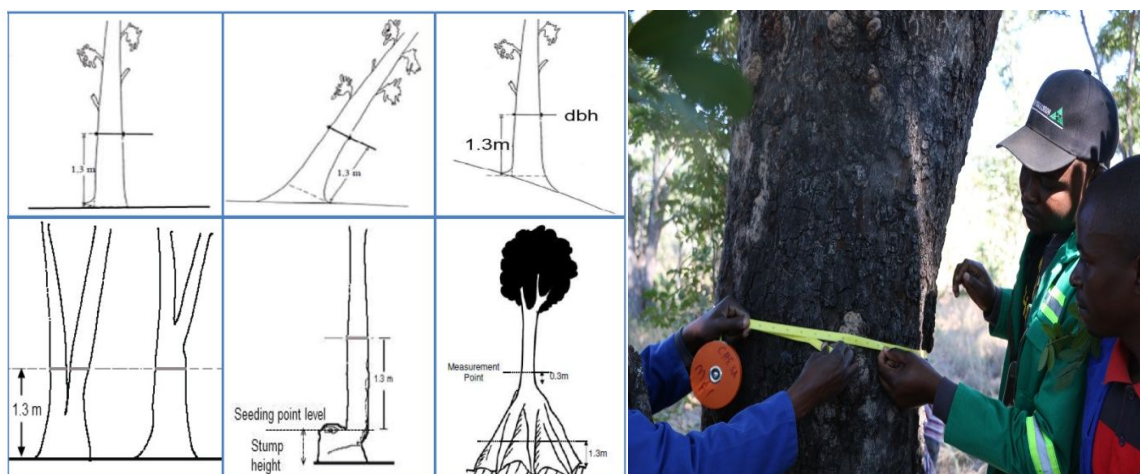
### 3.3.1 Regeneration

To avoid disturbance of the regeneration count by the establishment of the plot centre the regeneration plot centre was located at 6 m distance to the east (90°) from the assessment point centre. The regeneration of trees, including the important bush and shrub species was counted per species in 3 height classes (Table 7). Coppices from coppice trees were also recorded under regeneration, in the respective size class.

### 3.3.2 Trees

Trees were measured per respective dbh class and the following was recorded per tree:

- i) The tree species name: these were recorded with code and name, as provided from the tree species list.
- ii) The dbh: diameter measurements were done using a diameter tape and were taken at 1.3 meters above the ground. In cases of uncertainty of the measuring point reference was made to the graph below:



**Figure 8: Measuring points for diameter and diameter measurement in the field**

- iii) Tree height: Tree height was measured with the clinometer based instrument Vertex.
- iv) Tree health status: tree health status, causative agent and damage severity was assessed by expert's assessment using the damage classification list.
- v) Tree utility/use *i.e* timber, firewood, poles, medicinal etc was also recorded per tree.

- vi) Bearing and distance from plot centre to each tree: this was measured and recorded to facilitate quality control and re-measurement. The bearing was measured using a handheld compass whilst distance from the centre to each tree was done using either a vertex or a rangefinder.



*Figure 9: A- Bearing measurement using a handheld Compass. B- Distance measurement using a Rangefinder.*

### 3.4 Deadwood

The lying dead wood in the entire plot was measured. The lower and top diameters were measured as well as the length that fell within the plot. Dead wood logs that lay across the circle boundary were only measured with the part that was inside the circle. Decay percentage per log was also recorded and the decay of the log was estimated in percent by expert judgment.

### 3.5 Execution

The inventory field work was carried out during the period 18 June to 20 August 2018 with breaks in between. Two teams were formed during the exercise. Each inventory team was made up of 8 members plus members of the Forest Protection Unit. In the total, 42 days were taken to complete the survey.



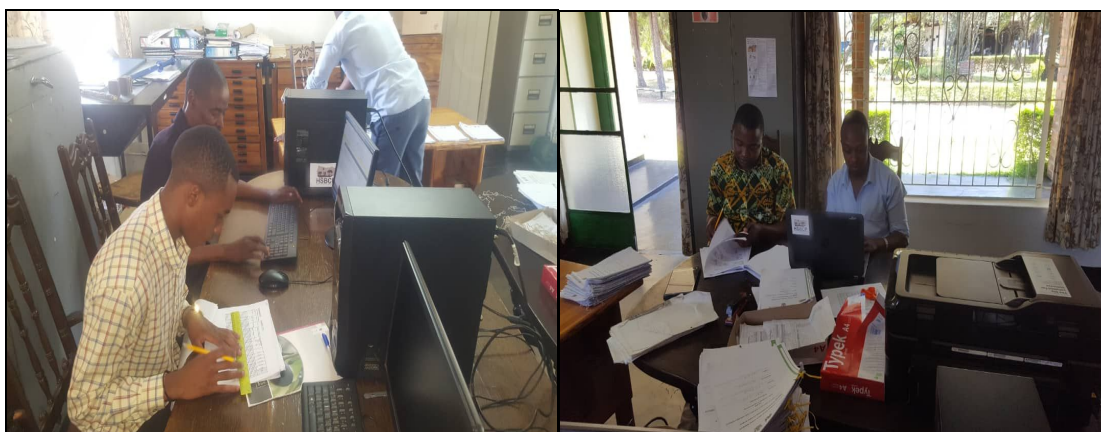
### 3.6 Data cleaning, capturing and analysis

The inventory field forms were checked in the office, especially for species codes and data recording typo errors. The data was then captured in Microsoft ACCESS database software, which was also used to produce the final inventory results.

The following equation was used to derive the Biomass in tonnes per ha

$$\text{Biomass} = 0.1936 * (\text{DBH}^2 * 3.141592654 / 4)^{1.1654}$$

The equation was adopted from the “GIZ- Development of IPCC Compliant MRV Systems Manual for Southern Africa, 2012”. The conversion from Biomass to Carbon was then done by multiplying the Biomass value by 0.47 since 47% of Biomass is Carbon (IPCC; 2003). This ratio considers that 45-55% of forest aboveground biomass is carbon (Rahman et al. 2008; Schlesinger; 1991)



*Figure 10: Data cleaning and capturing*

## 4.0 RESULTS

### 4.1 Basic parameters

The following results were obtained from a total of 76 clusters established in the two forest areas. Tables 8 and 9 below summarize results for the top 5 species (in terms of carbon content) and other species. Detailed results are attached in the appendix.

*Table 8: Summary of results per species in Ngamo Forest*

Species	Regeneration (saplings/ha)	Stocking (trees/ha)	Average dbh	Average height	Volume (m <sup>3</sup> /ha)	Biomass (t/ha)	Carbon (t/ha)
<i>Baikiaea plurijuga</i>	3828.1	99.1	20.7	9.3	17	17.2	8.1
<i>Guibourtia coleosperma</i>	1331.5	31	21.7	8.7	8.4	7.3	3.4
<i>Burkea africana</i>	2668.3	41	18.3	8.8	2.6	3.5	1.6
<i>Brachystegia spiciformis</i>	119.6	12	23	13	2.3	2.5	1.2
<i>Erythrophleum africanum</i>	2033.8	19	14.9	7.9	0.8	1.3	0.6
<i>Sub-total other tree species</i>	35040.2	168	-	-	5.1	6.9	3.2
<b>TOTAL (N=51)</b>	<b>45021.5</b>	<b>369.8</b>	<b>19.5</b>	<b>8.5</b>	<b>36.2</b>	<b>38.7</b>	<b>18.2</b>
<i>Coefficient of variation (%)</i>	-	-	-	-	±78	±67.5	
<i>Sampling error</i>	-	-	-	-	3.9 m <sup>3</sup> /ha	3.4 t/ha	1.6 t/ha
<i>Standard deviation</i>	-	-	-	-	28.5 m <sup>3</sup> /ha	24.6 t/ha	11.6 t/ha

*Table 9: Basic parameters per species in Sikumi Forest*

Species	Regeneration (saplings/ha)	Stocking (trees/ha)	Average dbh	Average height	Volume (m <sup>3</sup> /ha)	Biomass (t/ha)	Carbon (t/ha)
<i>Baikiaea plurijuga</i>	1740.1	111	19.9	9.3	13.1	13.9	6.5
<i>Brachystegia spiciformis</i>	1039.8	91	16.3	9.1	4.3	5.4	2.5
<i>Guibourtia coleosperma</i>	742.7	29	20.9	8.6	5.8	5.1	2.4
<i>Acacia erioloba</i>	0	2	61.2	15.7	7.8	5	2.4
<i>Burkea africana</i>	986.8	46	17.8	9.3	2.8	4.1	1.9
<i>Sub-total other tree species</i>	32393.8	199	-	-	5.1	7.8	3.7
<b>TOTAL (N=25)</b>	<b>36903.2</b>	<b>477.4</b>	<b>19.5</b>	<b>8.5</b>	<b>38.9</b>	<b>41.3</b>	<b>19.4</b>
<i>Coefficient of variation (%)</i>	-	-	-	-	±100	±73.6	
<i>Sampling error</i>	-	-	-	-	8.3 m <sup>3</sup> /ha	5.8 t/ha	2.7 t/ha
<i>Standard deviation</i>	-	-	-	-	41.5 m <sup>3</sup> /ha	28.8 t/ha	13.5 t/ha



52 different tree species were recorded in Ngamo whilst 62 were recorded in Sikumi. From the basic parameters it is quite evident that *Baikiaea plurijuga* is dominant in both forests; having a stocking rate of 99 trees/ha in Ngamo and 111 trees/ha in Sikumi. Overall Sikumi is more stocked (199 trees/ha) than Ngamo Forest (168 trees/ha). This is probably because of frequent fires that occur in Ngamo almost every fire season.

#### 4.1 Above Ground Carbon stock

In determining the above ground carbon stock the following non-woody land-cover classes were deemed unproductive *i.e* grasslands, settlements and cultivated lands thus they were subtracted from the total forest area.

Table 10: Carbon distribution per vegetation strata in Ngamo Forest

Woody Vegetation cover strata	Area (ha)	Biomass (t/ha)	Carbon (t/ha)	Total Carbon (tonnes)
Baikiaea	60,539.3	48.7	22.9	1,386,349.97
Miombo	4,497.9	47.1	22.1	99,403.59
Burkea africana-Terminalia	29,124.4	15.6	7.3	212,608.12
Acacia	2,593.3	48.2	22.7	58,867.91
Mopane	61.3	33.8	15.9	974.67
<b>TOTAL</b>				<b>1,758,204.26</b>

Table 11: Carbon distribution per vegetation strata in Sikumi Forest

Woody Vegetation cover strata	Area (ha)	Biomass (t/ha)	Carbon (t/ha)	Total Carbon (tonnes)
Baikiaea	33,468.8	44.4	20.9	699,497.92
Miombo	6,793.7	33.8	15.9	108,019.83
Burkea africana	1,278.1	39.8	18.7	23,900.47
Terminalia/Combretacea	3,550.6	16.4	7.7	27,339.62
Acacia	60.1	87.3	41.0	2,464.10
Mixed Species	506.5	26.2	12.3	6,229.95
<b>TOTAL</b>				<b>867,451.89</b>

Sikumi has an average above ground carbon stock of 19.4 tonnes per ha whilst Ngamo has 18.2 tonnes per ha. However Ngamo has the highest total carbon stock value (1.76 million tonnes) due to its vast nature. Sikumi has a total above ground carbon stock value of 867 000 tonnes. Combined the two forests have a total above ground carbon pool of 2.6 million tonnes.

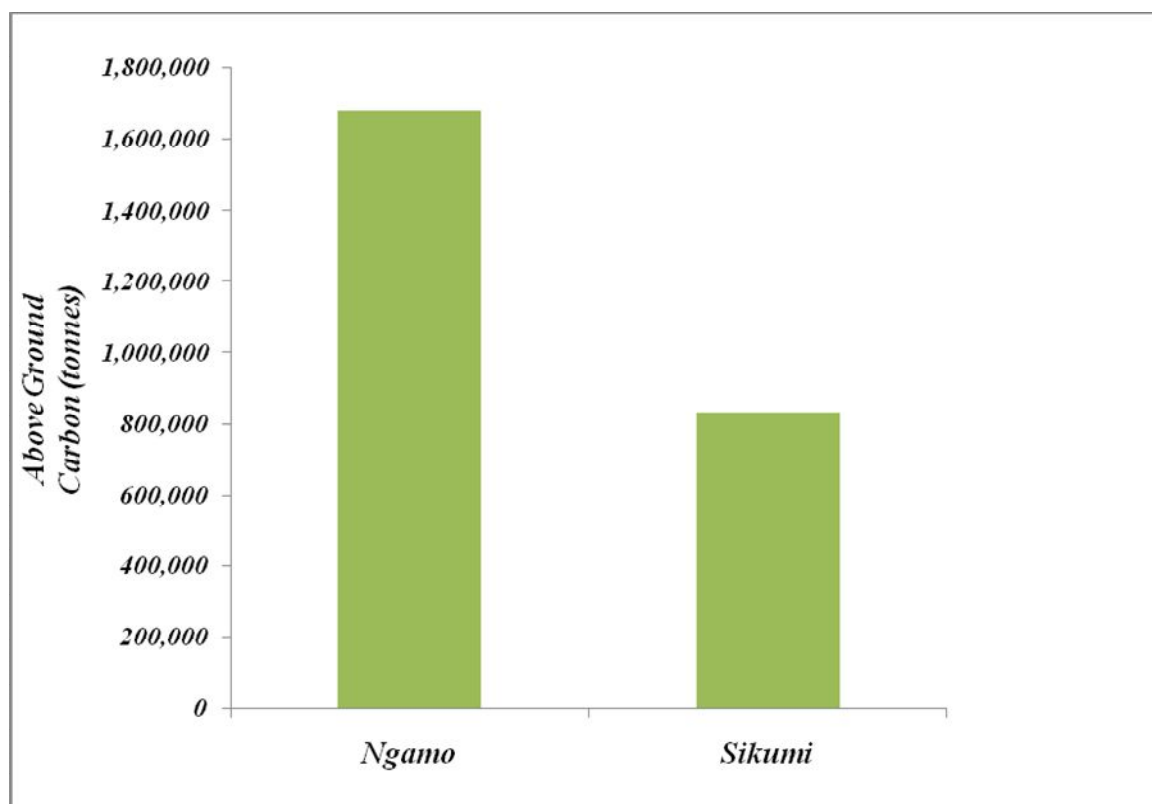


Figure 11: Carbon stock for the two forests

#### 4.2 Spatial distribution of above ground carbon

Using data from 120 plots from Ngamo forest a linear regression model was developed to predict the spatial distribution of above ground carbon in the two forests. Correlation and regression analysis were used to quantify the relationship between field based carbon measurements, satellite bands reflectance and remotely sensed vegetation indices. The following vegetation indices were computed from Sentinel satellite data: Difference Vegetation Index (DVI), Ratio Vegetation Index (RVI), Normalized Difference Vegetation Index (NDVI) and the Soil Adjusted Vegetation Index (SAVI). Reflectance values from single bands 3 (Green), 4 (Red) and 8 (Near Infra-red) of Sentinel were also correlated to the field based carbon measurements. Out of the 7 satellite derived variables *i.e* DVI, RVI, NDVI, SAVI and Bands 3, 4 and 8; **NDVI** had the most significant positive relationship with field based carbon measurements ( $r^2=0.72$ ;  $p < 0.05$ ) (Table 12).

Table 12: Relationship between Satellite data and Above Ground Carbon

Satellite derived variable	N	Pearson's r	r <sup>2</sup>
NDVI	120	0.85	0.72
RVI	120	0.81	0.66
SAVI	120	0.65	0.43
DVI	120	0.46	0.21
Band 3 (Green)	120	0.72	0.52
Band 4 (Red)	120	0.79	0.62
Band 8 (Near-infrared)	120	0.25	0.063

Based on the significant positive relationship between NDVI and field based carbon measurements (Fig 12), NDVI was used as a predictor for carbon distribution in the two forests. The regression equation  $y = 35.65x - 12.43$  where  $x$  is the NDVI and  $y$  is the Above Ground Carbon was inputted in a GIS to produce the carbon distribution maps (Fig 13 and Appendix 1)

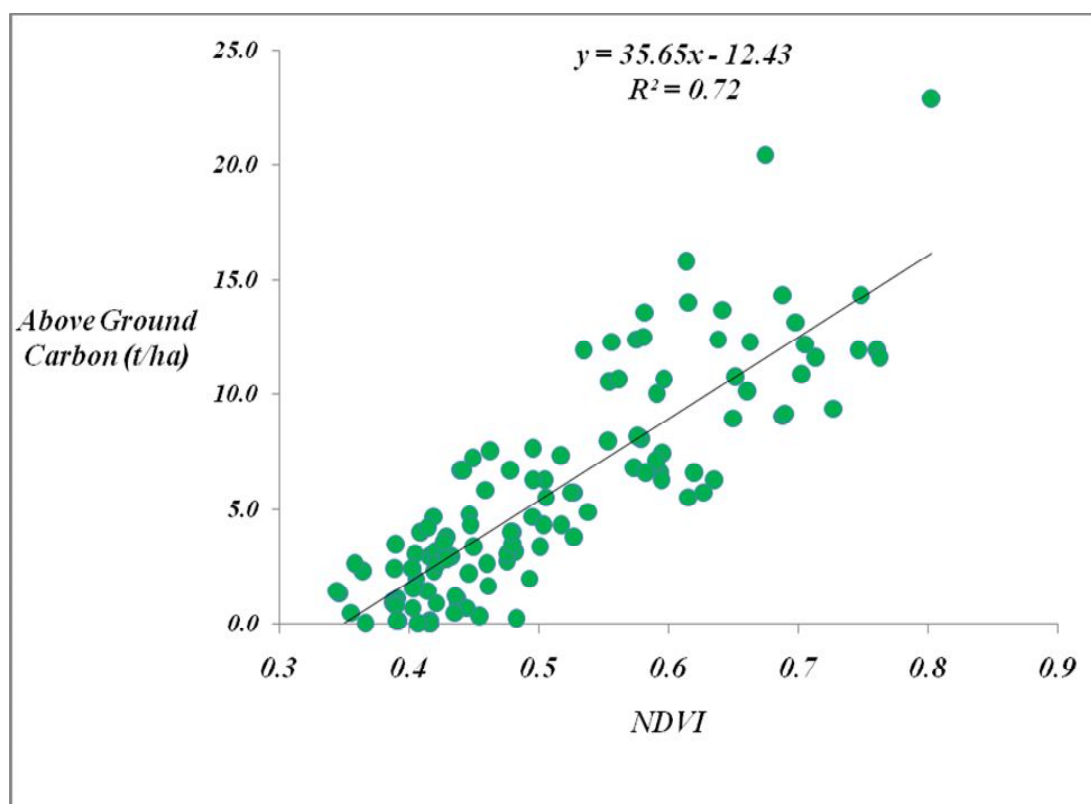


Figure 12: Relationship between Above Ground Carbon and NDVI

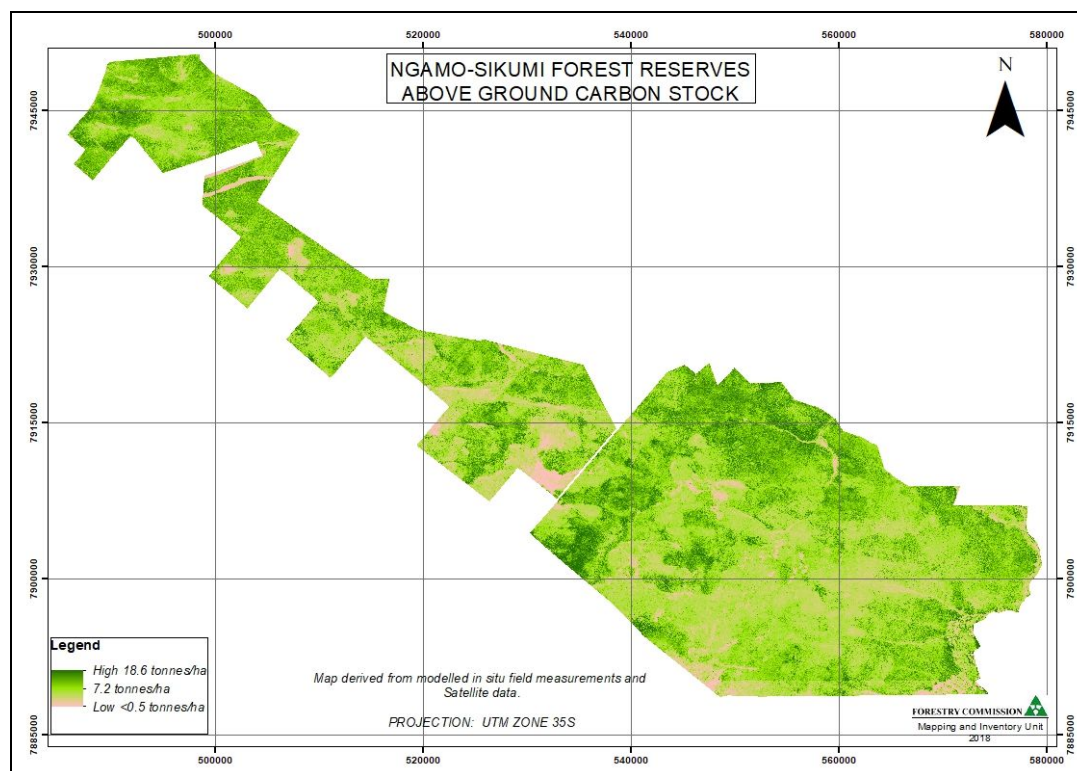


Figure 13: Satellite derived Above Ground Carbon distribution map of Ngamo and Sikumi forest

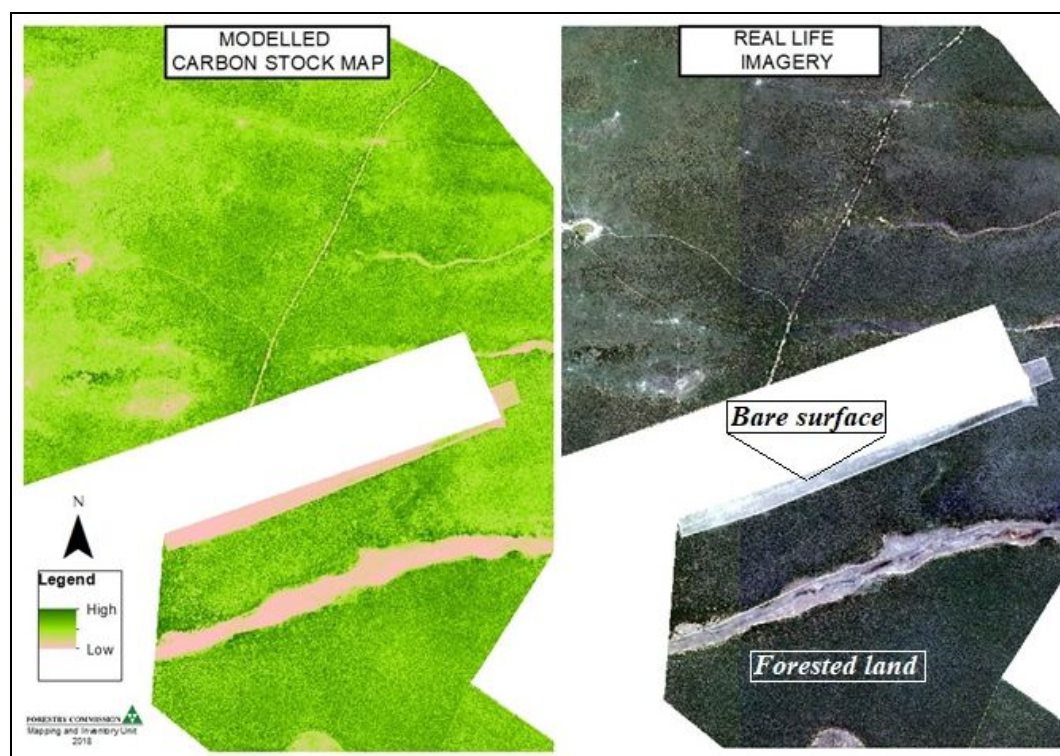


Figure 14: Modelled Carbon distribution Map vs Real Life Imagery showing Hwange National Park Airport and Dete Vlei (Sikumi Forest) having very low carbon. On the other hand forested lands show high levels of carbon.

## 4.2 Deadwood Carbon

Deadwood quantities per forest area were first derived as volume/ ha then converted to biomass/carbon. Conversion from Volume to Biomass was done using the equation below:

$$\text{Biomass} = \text{Volume} \times \text{Wood Density} \times \text{Biomass Expansion Factor}$$

Where: Wood Density = 0.58 (Brown, 1997)

Biomass Expansion Factor = 1.2 (average for tropical broadleaved) (IPCC, 2003)

*Table 13: Deadwood carbon*

Forest	Deadwood volume (m <sup>3</sup> /ha)	Deadwood Biomass (t/ha)	Deadwood Carbon (t/ha)
Ngamo	7.5	5.2	2.4
Sikumi	5.6	3.9	1.8

*Conversion to Carbon was done by multiplying Biomass by 0.47*

## 5.0 CARBON MONITORING PLAN

### 5.1 Establishment of permanent forest carbon monitoring plots

Following establishment of the forest carbon baseline, permanent Forest Carbon monitoring plots were established. The plots were established for the purpose of monitoring forest carbon emissions and sequestration over the entire duration of the REDD+ project. 5 plots were established in Sikumi whilst 6 were established in Ngamo. The plots were distributed in different vegetation cover types within the forest areas and each plot measured 50m x 25m (Fig 15)

The following activities were carried out per plot: Sign post installation, tree identification, distinctive tree tagging; height and dbh measurements; coordinates collection per tree and ring painting each tree at 1.3m above ground (Picture collage on the next page shows these activities). The above mentioned activities were done to minimize errors during subsequent measurements which will be carried out at 3-5 year intervals. Monitoring of these plots will be done using both remote sensing technology and field visits. Appendix 2 shows the distribution of the monitoring plots in the two forests. It is also important to note that depending on the availability of funds more monitoring plots would be established.

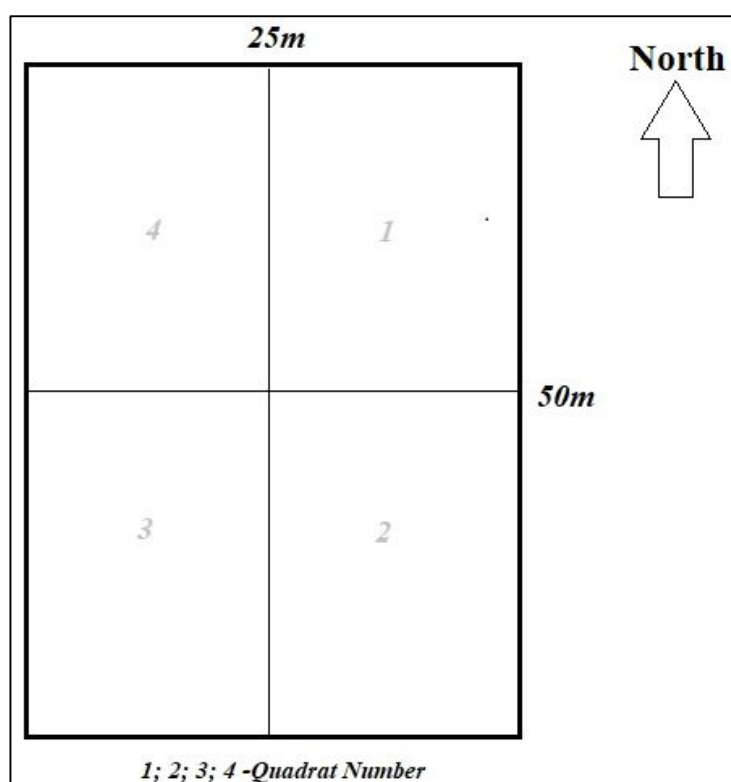


Figure 15: Permanent Forest Carbon Plot design





**Figure 16: Picture Collage showing Forest Carbon Monitoring plots establishment**



## **6.0 DISCUSSION, CONCLUSION AND RECOMMENDATIONS**

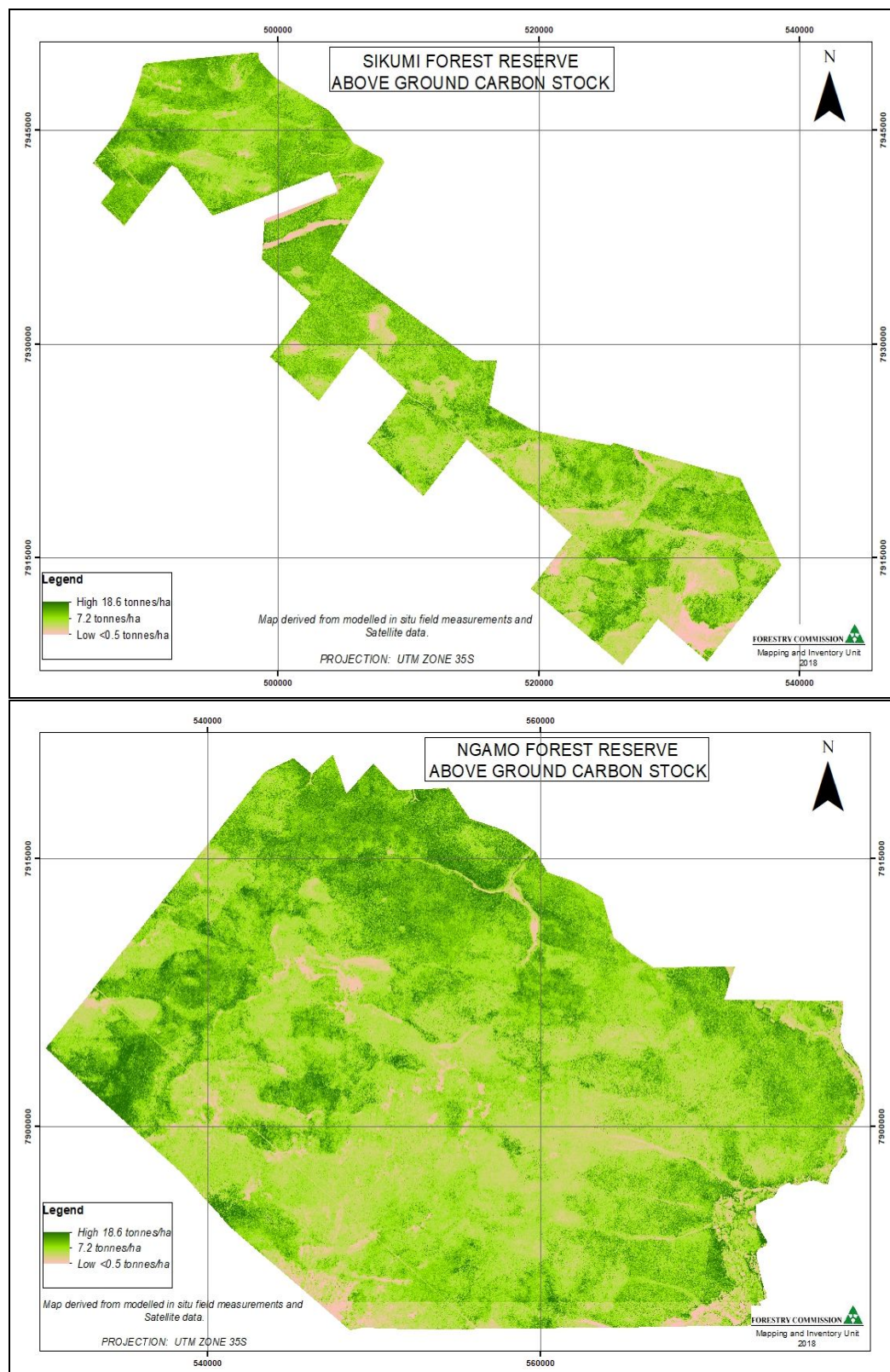
Ngamo and Sikumi forests are endowed with vast carbon stocks as witnessed by the high total carbon pool of 2.6 million tonnes. The average carbon stock of 18.2 t/ha for Ngamo and 19.4 t/ha for Sikumi is consistent and within range of the national carbon stock estimate for Zimbabwe. Recent results from the Zimbabwe Global Forest Survey (GFS) of 2017 show that Zimbabwe has an average of 19.5 t/ha of above ground carbon per ha whilst a similar survey carried out in 2005 gave an estimate of 15.7 t/ha of above ground carbon. The GFS study of 2017 also gave an estimate of 2.3 t/ha of deadwood carbon and similarly this is also consistent with values obtained from this study. Ngamo had 2.4 t/ha of deadwood carbon whilst Sikumi had 1.8 t/ha of deadwood carbon. However there is need for the country to come up with local country specific biomass equations. This will ensure improved accuracy in carbon estimates.

Furthermore the significant relationship between field based carbon measurements and satellite data shows that satellite data can be used as a proxy for above ground carbon estimation. This finding underscores the potential and significance of remote sensing data particularly Sentinel in understanding and quantifying carbon stocks in Zimbabwe's dry indigenous forests. However the relationship can further be up-scaled by using high resolution satellite imagery and Unmanned Aerial Vehicles (Drones).

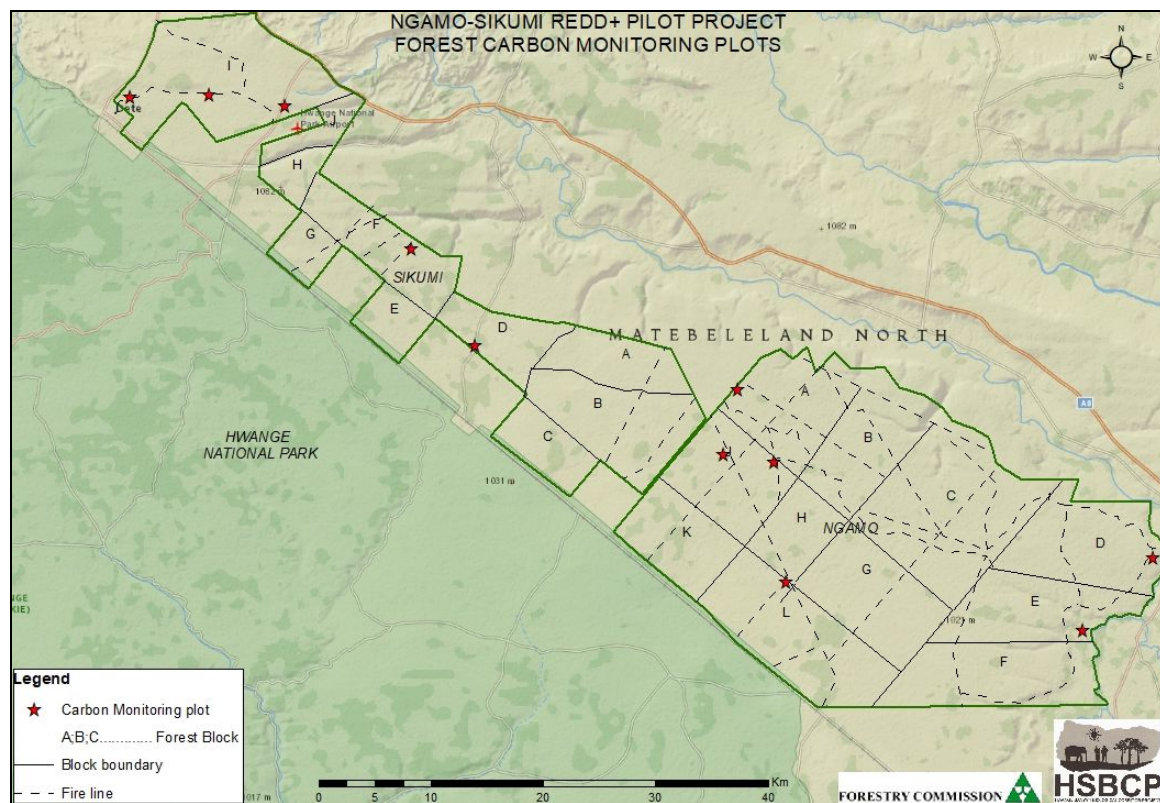
In light of the vast carbon pool in Ngamo and Sikumi forests the following is recommended:

- i) Enhancement of the total carbon pool should be a priority and this can be achieved by monitoring forest disturbances and taking practical remedial measures.
- ii) Measures should be put in place to ensure surrounding communities (possible leakage zones) are made aware of the need to conserve forests.

## APPENDIX 1: CARBON DISTRIBUTION MAPS



## APPENDIX 2 : CARBON MONITORING PLOTS DISTRIBUTION MAP





**APPENDIX 3: FIELD ACTIVITIES PICTURE COLLAGE**



## APPENDIX 4: RESULTS PER SPECIES: NGAMO

Species	Regen/ha	Stocking/ha	AVG DBH	AVG Height	Volume/ha	Biomass t/ha	Carbon t/ha
<i>Baikiaea plurijuga</i>	3828.1	99.1	20.7	9.3	17	17.2	7.74
<i>Guibourtia coleosperma</i>	1331.5	31.1	21.7	8.7	8.4	7.3	3.285
<i>Burkea africana</i>	2668.3	41	18.3	8.8	2.6	3.5	1.575
<i>Brachystegia spiciformis</i>	119.6	11.7	23	13	2.3	2.5	1.125
<i>Erythrophleum africanum</i>	2033.8	19.2	14.9	7.9	0.8	1.3	0.585
<i>Acacia erioloba</i>	0	2.7	26.7	8.6	1.2	1.2	0.54
<i>Combretum collinum</i>	665.8	22.3	15	7.5	0.8	1.1	0.495
<i>Colophospermum mopane</i>	306.9	35.1	12	6.8	0.5	1	0.45
<i>Pterocarpus angolensis</i>	156	7.1	19.3	7.8	0.8	0.7	0.315
<i>Terminalia sericia</i>	1690.4	25.2	12.7	6	0.4	0.7	0.315
<i>Combretum molle</i>	2049.3	22.9	9.1	5.1	0.1	0.4	0.18
<i>Ochna pulchra</i>	4124.6	6.4	13.7	5.9	0.1	0.3	0.135
<i>Combretum hereroense</i>	72.8	7.3	14.8	7.6	0.2	0.3	0.135
<i>Pseudolachnostylis maprouneiflora</i>	457.7	7.7	11.3	5.4	0.1	0.2	0.09
<i>Combretum imberbe</i>	0	2.7	15.4	6.5	0.2	0.2	0.09
<i>Diospyros mespiliformis</i>		0.1	77.6	9.7	0.3	0.2	0.09
<i>Other</i>	10.4	0.1	55	15.9	0.2	0.2	0.09
<i>Baphia massaiensis</i>	2730.7	10.8	8.2	5.2	0.1	0.2	0.09
<i>Diplorynchus condylocarpon</i>	3058.3	6.4	10.4	5.1	0	0.1	0.045
<i>Albizia amara</i>		0.1	39	11.3	0.1	0.1	0.045
<i>Lonchocarpus capassa</i>		0.2	13.8	5.2	0	0	0
<i>Peltophorum africanum</i>		0.4	15.3	4.4	0	0	0
<i>Croton gratissimus</i>	140.4	1.9	7.8	5.2	0	0	0
<i>Dalbergia melanoxylon</i>	150.8						0
<i>Dichrostachys cineria</i>	15.6						0
<i>Strychnos pungens</i>	145.6	0.6	7.2	3.4	0	0	0
<i>Strychnos spinosa</i>	88.4						0
<i>Ziziphus mucronata</i>	15.6	0.1	11.5	6.2	0	0	0
<i>Combretum apiculatum</i>	26	0.2	12.9	5.3	0	0	0
<i>Grewia flava</i>	171.6						0
<i>Grewia monticola</i>	322.5						0

<i>Pterocarpus rotundifolius</i>	686.6						0
<i>Vangueriopsis lanciflora</i>	31.2						0
<i>Vangueria infaustia</i>	166.5						0
<i>Vitex mombassae</i>	197.6						0
<i>Acacia nilotica</i>	0	1.4	10.9	5.2	0	0	0
<i>Acacia polyacantha</i>	0	0.6	7	2.2	0	0	0
<i>Combretum spp</i>	10.4						0
<i>Strychnos cocculoides</i>	31.2	0.6	5.2	2.9	0	0	0
<i>Commiphora matebelensis</i>	5757.7	2.9	8.8	5.6	0	0	0
<i>Bauhinia thonningii</i>		0.2	15.5	2.6	0	0	0
<i>Xeromphsis obovata</i>	5601.6						0
<i>Ximenia americana</i>	182						0
<i>Terminalia stenostachya</i>		0.2	15.6	6.8	0	0	0
<i>Securidaca longipedunculata</i>	114.4	0.6	9.2	6	0	0	0
<i>Bauhinia petersiana</i>	4306.5						0
<i>Maytenus heterophylla</i>	88.4						0
<i>Tarenna neurophylla</i>	1367.9						0
<i>Commiphora schimperi</i>	57.2						0
<i>Bolusanthus speciosus</i>	0	0.7	12.3	5.8	0	0	0
<i>Commiphora mossambicensis</i>	41.6	0.1	16	7.5	0	0	0
<i>Commiphora marlothii</i>		0.1	10	4.4	0	0	0

**APPENDIX 5: RESULTS PER SPECIES: SIKUMI**

Species	Regen/ ha	Stocking/ ha	AVG_DB H	AVG_heigh t	V_ha	Biomass/ ha	Carbon/ha
<i>Baikiaea plurijuga</i>	1740.1	110.5	19.9	9.3	13.1	13.9	6.255
<i>Brachystegia spiciformis</i>	1039.8	90.8	16.3	9.1	4.3	5.4	2.43
<i>Guibourtia coleosperma</i>	742.7	28.8	20.9	8.6	5.8	5.1	2.295
<i>Acacia erioloba</i>	0	1.9	61.2	15.7	7.8	5	2.25
<i>Burkea africana</i>	986.8	46.2	17.8	9.3	2.8	4.1	1.845
<i>Erythrophleum africanum</i>	1135.4	39.2	14.7	7.9	1.3	1.9	0.855
<i>Combretum imberbe</i>		1.5	26	8.3	0.8	0.7	0.315
<i>Combretum celastroides</i>	74.3	22.2	11.8	6.6	0.3	0.6	0.27
<i>Ochna pulchra</i>	3140.7	24.7	11.2	4.9	0.2	0.5	0.225
<i>Terminalia sericia</i>	456.3	25.6	10.9	4.9	0.2	0.5	0.225
<i>Combretum molle</i>	2578.1	18.7	12.4	6.1	0.2	0.5	0.225
<i>Colophospermum mopane</i>	742.8	9	13.9	3.9	0.2	0.4	0.18
<i>Julbernardia globiflora</i>	95.5	5.9	19	7.9	0.3	0.4	0.18
<i>Amblygonocarpus andongensis</i>	42.4	4.1	17.4	5.8	0.3	0.3	0.135
<i>Kirkia acuminata</i>	10.6	0.4	23.8	9.3	0.2	0.2	0.09
<i>Pterocarpus angolensis</i>	233.5	0.4	28.4	9.8	0.2	0.2	0.09
<i>Combretum collinum</i>	350.2	3.9	15.1	8.1	0.1	0.2	0.09
<i>Pseudolachnostylis maprouneiflora</i>	573	8.3	11.2	4.6	0.1	0.2	0.09
<i>Combretum apiculatum</i>	169.8	6	13	6.5	0.1	0.2	0.09
<i>Terminalia prunoides</i>		1.3	17.8	5.6	0.1	0.2	0.09
<i>Diospyros quiloensis</i>	0	3.5	14	6.5	0.1	0.2	0.09
<i>Hyphaene petersiana</i>	413.8	0.2	31.6	18.1	0.1	0.1	0.045
<i>Bolusanthus speciosus</i>		0.5	20.8	8.5	0.1	0.1	0.045
<i>Brachystegia boehmii</i>	392.6	0.3	27	12.4	0.1	0.1	0.045
<i>Lonchocarpus capassa</i>	63.7	5.9	6.7	3.8	0	0.1	0.045
<i>Combretum hereroense</i>	116.7	3.9	11.5	6.2	0	0.1	0.045
<i>Baphia massaiensis</i>	4191.1	4.8	7.1	5.2	0	0.1	0.045
<i>Erythroxylum zambesiaceum</i>		0.1	15.8	7.4	0	0	0
<i>Commiphora mossambicensis</i>	42.4	0.6	15.1	6.6	0.1	0	0
<i>Acacia ataxacantha</i>		0.2	12.8	5.4	0	0	0
<i>Croton gratissimus</i>	21.2	1.2	6.4	3	0	0	0



<b>Dalbergia melanoxylon</b>	180.4	0.1	10.7	7.2	0	0	0
<b>Diplorynchus condylocarpon</b>	5315.8	0.5	12	3.8	0	0	0
<b>Ziziphus mucronata</b>	42.4	0.2	13.1	5.6	0	0	0
<b>Commiphora caribensis</b>		0.1	12.5	6.7	0	0	0
<b>Parinari cyratellifolia</b>		0.3	11.9	2.3	0	0	0
<b>Diospyros mespiliformis</b>	116.7	1.3	11.7	2.7	0	0	0
<b>Strychnos potatorum</b>	106.1	0.2	13.4	5.6	0	0	0
<b>Strychnos cocculoides</b>	191	0.1	10	2.7	0	0	0
<b>Other</b>	212.2	2.7	9	6.1	0	0	0
<b>Diospyros spp</b>	286.5	0.1	10.5	3.5	0	0	0
<b>Bauhinia petersiana</b>	4806.6	1.2	6.4	2.5	0	0	0
<b>Maytenus senegalensis</b>	594.2						
<b>Euclea undulata</b>	445.6						
<b>Rhus dentata</b>	95.5						
<b>Dichrostachys cineria</b>	31.8						
<b>Strychnos pungens</b>	244						
<b>Strychnos spinosa</b>	106.1						
<b>Grewia flava</b>	201.6						
<b>Grewia monticola</b>	350.2						
<b>Friesodielsia obovata</b>	63.7						
<b>Pterocarpus rotundifolius</b>	42.4						
<b>Vitex payos</b>	74.3						
<b>Vangueria infaustia</b>	1485.5						
<b>Rhus dentata</b>	95.5						
<b>Commiphora matebelensis</b>	10.6						
<b>Xeromphsis obovata</b>	1347.6						
<b>Cantihium huillense</b>	74.3						
<b>Hexalobus monopetalus</b>	63.6						
<b>Tinnea spp</b>	31.8						
<b>Maytenus heterophyll</b>	827.6						
<b>Tarenna neurophylla</b>	106.1						

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